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Notice of References CitedApplication/Control No.
09/405,920Applicant(s)/Patent Under
Reexamination
CARILLO ET AL.Examiner
Anne M BeckerlegArt Unit
1632

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US- -			
	B	US- -			
	C	US- -			
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	K	US- -			
	L	US- -			
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FOREIGN PATENT DOCUMENTS

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	N	- -				
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Marshall; Gene Therapy 's Growing Pains, 1995, Science, Vol. 269: 1050-1055.
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known

Application Number	09/405,920
Filing Date	September 24, 1999
First Named Inventor	Serge CARILLO et al.
Art Unit	1632
Examiner Name	A. Beckerleg

Attorney Docket Number ST94037

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Application Number	09/405,920
Filing Date	September 24, 1999
First Named Inventor	Serge CARILLO et al.
Group Art Unit	1632
Examiner Name	A. Beckerleg
Attorney Docket Number	ST94037A

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
ABP		Wagenknecht et al., "Proteasome Inhibitors Induce p53/p21-Independent Apoptosis in Human Glioma Cells", Cell Physiol Biochem 1999; 9:117-125	
		An et al., "Protease inhibitor-induced apoptosis: accumulation of wt p53, p51 WAF1/CIP1, and induction of apoptosis are independent markers of proteasome inhibitor", Leukemia (2000) 14; 1276-1283	
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		Pariat, et al., "Proteolysis by Calpains: a Possible Contribution to Degradation of p53", Molecular and Cellular Biology, May 1997; Vol. 17 No. 5, pp. 2806-2815	
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Notice of References Cited

Application No. 09/405,920	Applicant(s) Carillo et al.
Examiner Gai (Jennifer) Mi Lee	Group Art Unit 1632

Page 1 of 3

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V	Bischoff et al., "An adenovirus mutant that replicates selectively in p53-deficient human tumor cells." Science, Vol. 274 : 373-376.	10/1996
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X	Crystal, RG., "Transfer of Genes to Humans: Early lessons and obstacles to success." Science, Vol. 270: 404-410.	1995

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Application No.
09/405,920

Applicant(s)

Carillo et al.

Examiner

Gai (Jennifer) Mi Lee

Group Art Unit

1632

Page 2 of 3

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V	Verma et al., "Gene therapy- promises, problems and prospects." Nature, Vol. 389: 239-242.	9/1997
W	Deonarain, MP., "Ligand-targeted receptor-mediated vectors for gene delivery." Exp. Opin. Ther. Patents, Vol. 8 (1): 53-69.	1998
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U	Orkin et al., "Report and recommendations of the panel to assess the NIH investment in research on gene therapy." Pages 1-20.	12/1995
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1449A/PTO

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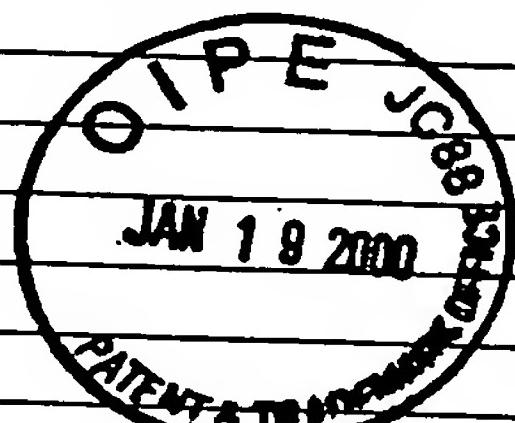
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U.S. PATENT DOCUMENTS

Application Number	09/405,920
Filing Date	September 24, 1999
First Named Inventor	Carillo et al.
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	ST94037 A-US



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Application Number	09/405,920
Filing Date	September 24, 1999
First Named Inventor	Carillo et al.
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	ST94037 A-US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.
<i>Carillo</i>	PA	Levrero et al., Defective and Nondefective Adenovirus Vectors for Expressing Foreign Genes In Vitro and In Vivo, Gene, 101, 195-202, (1991)
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	PD	Troll et al., Protease Inhibitors as Anticarcinogens, JNCL 73(6): 1245-1250 (1984)
<i>Carillo</i>	PE	Emori et al., All Four Repeating Domains of the Endogenous Inhibitor for Calcium-dependent Protease Independently Retain Inhibitory Activity, Journal of Biological Chemistry 263(5): 2364-2370 (1988)
	PF	Witschi et al., Modulation of lung tumor development in mice with the soybean-derived Bowman-Birk protease inhibitor, Carcinogenesis 10:2275-2277 (1989)
	PG	Orkin et al., Report & Recommendations Of The Panel To Assess The NIH Investment In Research On Gene Therapy, NIH (1995)
<i>Carillo</i>	PH	Carillo et al., Differential sensitivity of FOS and JUN family members to calpains, Oncogene 9, 1679-1689 (1994)

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FORM PTO-892 (REV. 2-92)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO.	GROUP PART UNIT	ATTACHMENT TO PAPER NUMBER	13
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<i>Complete if Known</i>	
Application Number	08/737,953
Filing Date	27 November 1996
First Named Inventor	Carillo et al.
Group Art Unit	1819
Examiner Name	A. Razzaque
Attorney Docket Number	ST94073-US

U.S. PATENT DOCUMENTS

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B. Russell

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Patent and Trademark Office**LIST OF PRIOR ART CITED BY APPLICANT**

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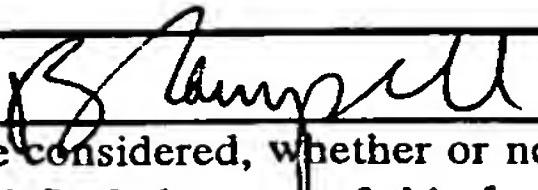
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Examiner Name	A. Razzaue

Attorney Docket Number ST94073-US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

P Examiner Initials	OCT 17 1997 Cite No. 1 TRADEMARK OFFICE LOC	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T2
PA	BL	Carillo et al., Differential sensitivity of FOS and JUN family members to calpains, Oncogene 9, 1679-1689 (1994)	

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SERIAL NUMBER

08/737,953

Art Unit

1819

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APPLICANT(S) : Carillo et al

U.S. PATENT DOCUMENTS

*		DOCUMENT NUMBER	DATE	NAME(S)	CLASS	SUBCLASS	FILING DATE
	✓	5,496,731	3/96	Xu et al	435	320.1	

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*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	PERTINENT DRW SPEC
	✓	92/21373	12/92	WO	Logothetou-Rella	---	---	---

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

✓	Y Emori et al (1988) J Biol Chem 263: 2364-2370
✓	H Witschi et al (1989) Carcinogenesis 10: 2275-2277
✓	W Troll et al (1984) JNCI 73: 1245-1250
✓	M Ohkoshi (1980) Gann 71: 246-250

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BRUCE R. CAMPELL	1/29/98	PAGE 1 OF 1

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NOTICE OF REFERENCES CITED			APPLICANT(S) <i>Carillo et al.</i>					
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